

*7/17/98*  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

INOUE

✓ Serial No. 09/008,497

Filed: January 16, 1998

✓ For: Semiconductor Device and  
Method for Manufacturing the  
Same

Atty. Docket: 0433/00547

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The documents identified on the attached PTO Form 1449 have come to the attention of the undersigned in connection with the above patent application. Copies of these documents are also attached unless otherwise indicated below and it is respectfully requested that they be made of record in this proceeding. The identification of these documents is for the purpose of meeting applicant's duty of disclosure under 37 C.F.R. 1.56 and is not intended to be an admission that these documents constitute prior art as to the invention claimed in the present application.

The Examiner's attention is directed to copending parent Application Serial No. 08/747,928 filed November 12, 1996, owned by common assignee of which this is a divisional application. As copies of the references listed were previously submitted in the parent case, copies will be furnished upon request.

It is believed that the document identified does not disclose or make obvious a semiconductor device and method for manufacturing the same as claimed in the present application. Although the information disclosed above is that which the reviewing attorney or agent believes may be relevant based on a brief review of the application, the search report and the attached document, the document identified may contain relevant information other than that which has come to the attention of the reviewing attorney or agent. Accordingly, the Examiner is urged to review and form his or her own conclusions with regard to the relevance of the document identified.

These documents are being submitted (check only one of the next four boxes):

- ☒ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

OR

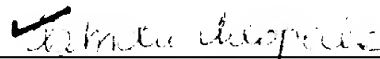
- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last three boxes also must be checked).
- ☐ PETITION - The undersigned hereby requests consideration of this information after mailing the Final Office Action or Notice of Allowance by filing this Petition under 37 CFR § 1.97(d)(2)(ii) and attaching Check No. in the amount of \$130.00 as the petition fee set forth in 37 CFR § 1.17(i)(1).
- ☐ Check No. in the amount of \$240.00 is attached in payment of the fee set forth in 37 CFR § 1.17(p).

- [] The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.
- [] The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Date: 09-10-1998



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